

Sneak Peek

*Process Scale-up & Tech
Transfer for Injectables*

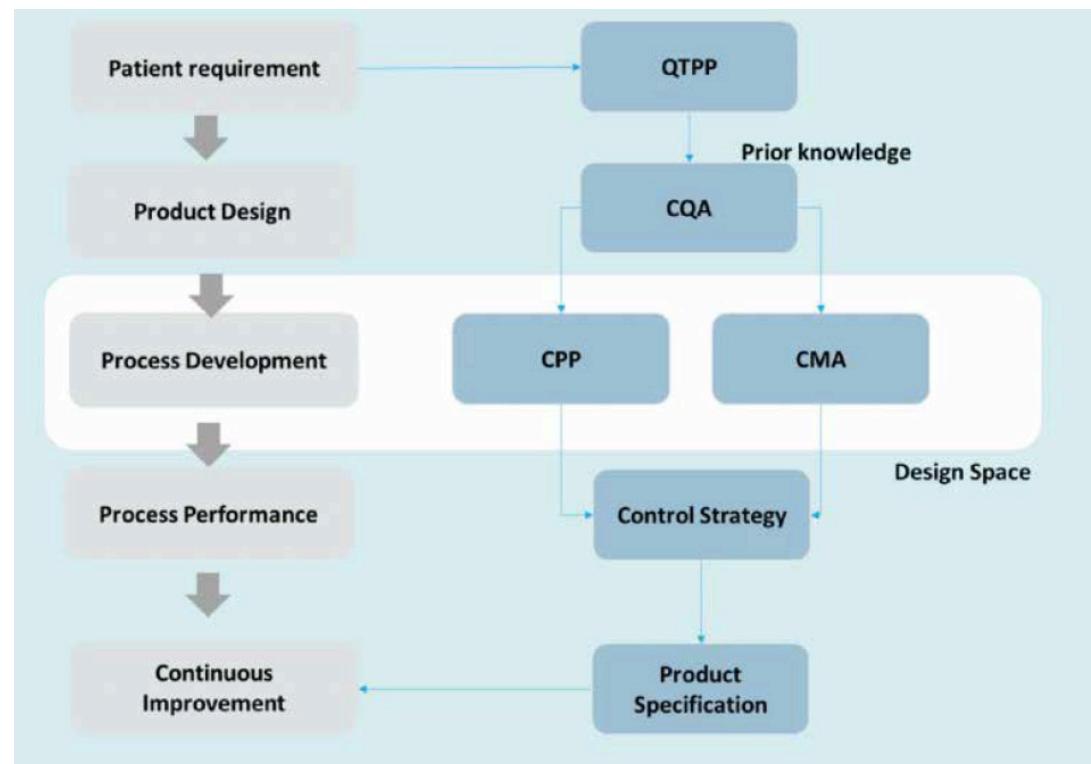


Dr. Laura Buttafoco
Protea,
CEO



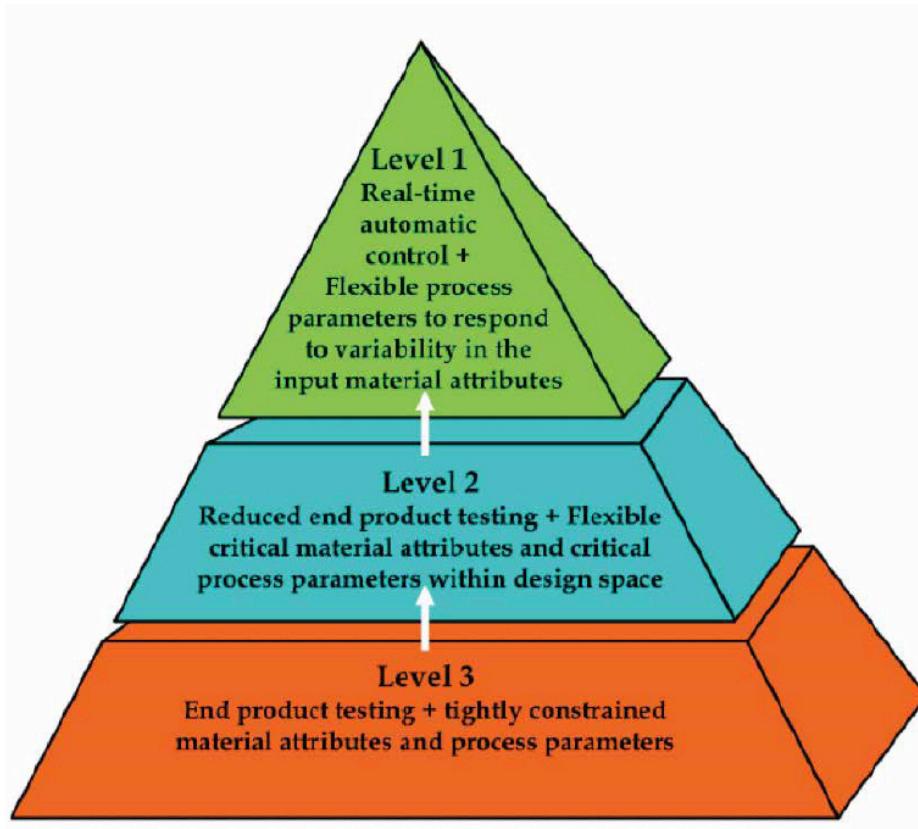
Road to Success

- **Build in success from the start** (e.g. containment, robust process, automation);
- **Control quality from start to finish** (in raw materials and operators too);
- **Excellent teamwork between different disciplines;**
- **People** (train, lead by example, walk the talk);
- **Excel at managing risks proactively.**



Impact on Post-Approval Changes

02



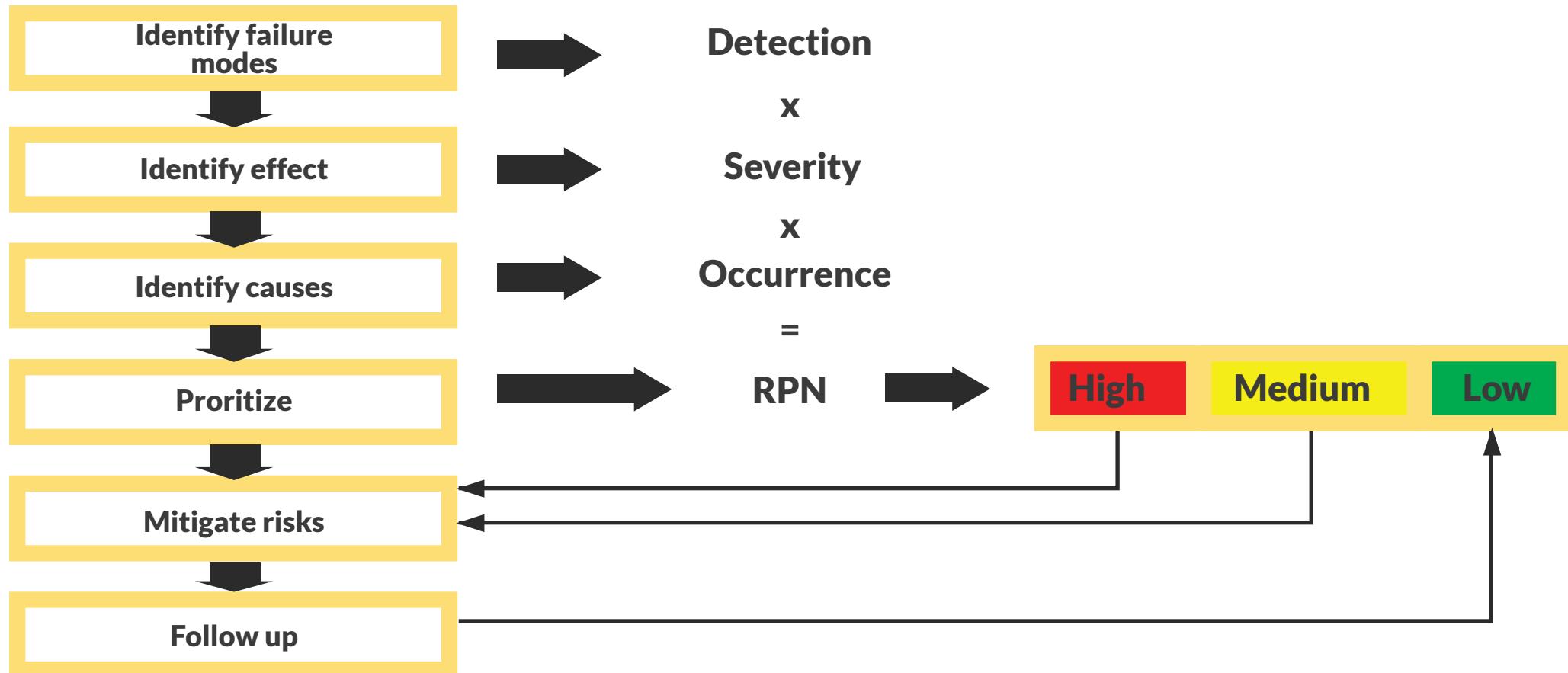
Control Strategy Implementation Options

- ▶ Level 1: **flexible** input material attributes and process parameters; **real-time automatic controls** assure that CQAs consistently conform to the established acceptance criteria
- ▶ Level 2: flexible material attributes and process parameters within the established design space
- ▶ Level 3: **any significant change** in these MAs and PPs warrants regulatory oversight

Yu, et al. *Understanding Pharmaceutical Quality by Design, The AAPS Journal, 2014, 16(4): 771-783*

FMEA Approach

03



Tech Transfer: When & Why

04

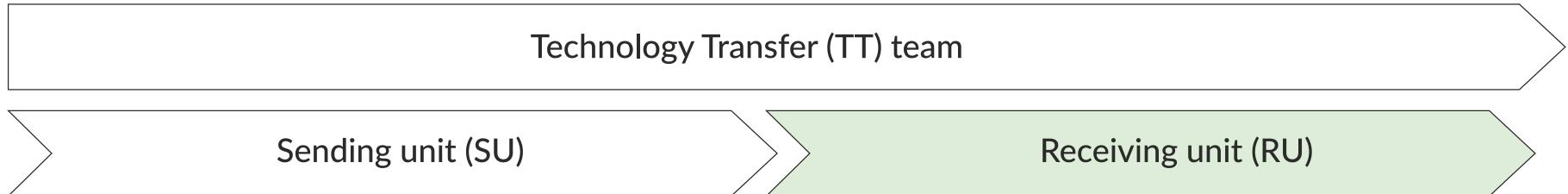
- ▶ From R&D to large scale production;
- ▶ From site to site;
- ▶ Capacity harmonisation or optimisation;
- ▶ Site area changes;
- ▶ Productivity or financial efficiency of the site/company.



At any stage of the lifecycle!!

Technology Transfer Stages

05

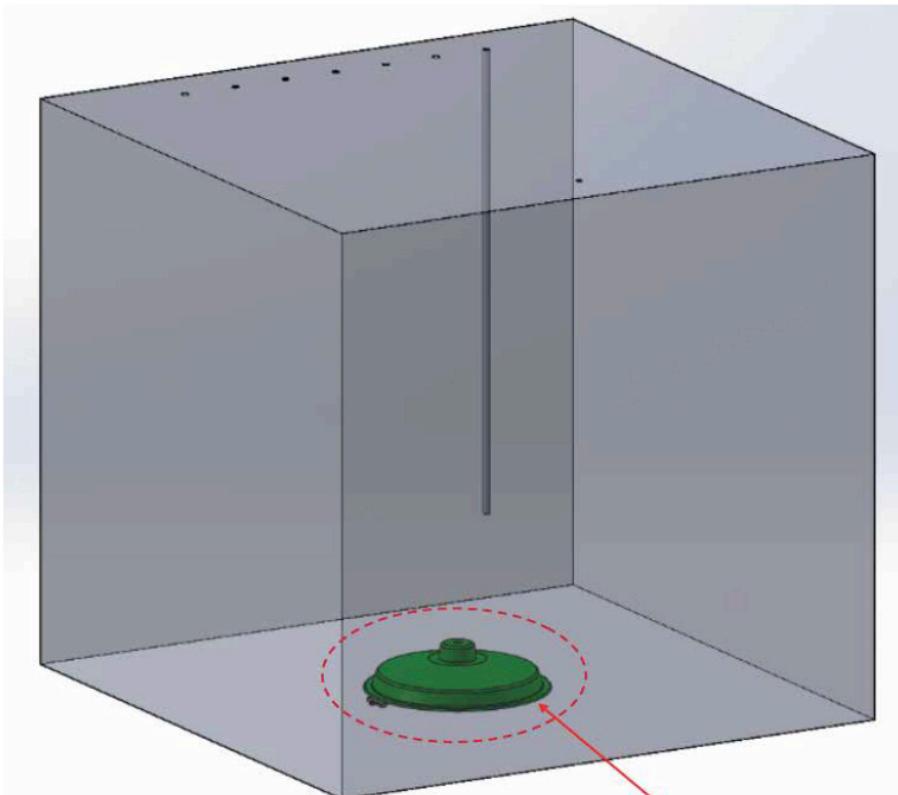


Stages	Initiation	Planning	Knowledge transfer	Readiness	Execution	Handover
Purposes	Identify site with risk profile meeting business objective	Form TT team & assess risk	Identify elements critical to knowledge transfer and timing	Activities & deliverables tracking	RU qualifies process at scale & regulatory submission	Close TT with regulatory approval & normal operations

Mathematical Models for Mixing Scale Up

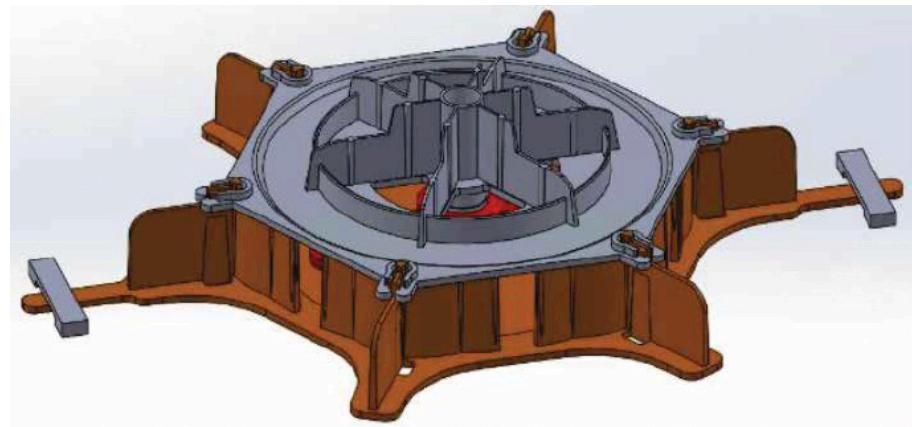
06

3D CAD Model of Bag, Dip Tube and Jet mixer

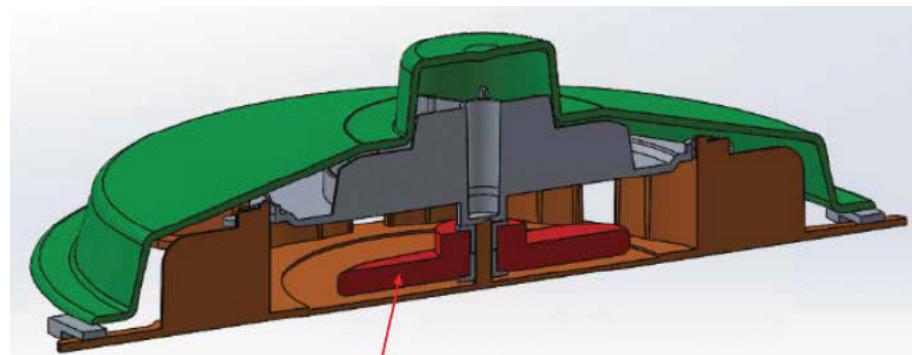


Jet mixer

3D View of Jet mixer



Cross-sectional View of Jet mixer



Impeller

Note: This 3D CAD model was created by Griffin based upon the 2D drawings.

EMA vs FDA Guidance

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FDA		EMA		
PV Guideline Jan 2011 (Submission and GMP)		Annex 15 Mar 2011 (GMP)	PV drug product Feb 2014 (Submission)	PV Biotech API Apr 2016 (Submission)
Stage 1	Process Design (Process understanding and strategy for process control)	Ref: ICH Q8, 9, 10, 11, CQA, CPP, Control Strategy	Ref: ICH Q11, enhanced or traditional sampling	Ref: ICH Q11, enhanced or traditional sampling
Stage 2	Process Qualification: 2a (Equipment/ Utility) + 2b (Process Performance Qualification). Confirm Stage 1. Justify no of batches	URS, DQ, FAT, SAT, IQ, OQ, PQ	ANNEX 15	ANNEX 15
Stage 3	Continued Process Verification (CPV)	Ongoing Process Verification	Ongoing Process Verification	Ongoing Process Verification

Registration



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